

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
(R) S11-US

In Re Application Of: Locher et al.

#17  
L. Galt  
5/22/01

Serial No.  
09/068,278

Filing Date  
05/05/98

Examiner  
Adrian Wilson

Group Art Unit  
3722

Title: Continuous Cable Processing Device



**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☒ PTO-2038, the amount of \$180.00 is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 11-0665 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

RECEIVED  
MAY 14 2001  
TECHNOLOGY CENTER 3700

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. ) on

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on 05/02/01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*M. Robert Kestenbaum*  
Signature of Person Mailing Correspondence

M. Robert Kestenbaum

Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

*M. Robert Kestenbaum*  
Signature

Dated: May 2, 2001

M. Robert Kestenbaum  
Reg. No. 20,430  
11011 Bermuda Dunes NE  
Albuquerque, NM USA 87111  
Phone 505-323-0771  
Facsimile 505-323-0865

05/10/2001 EABUBAK1 00000078 09068278

01 FC:126

180.00 OP

CC:

AV. 3722

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
(R) S11-PC/PC1

In Re Application Of: Locher et al.

Patent & Trademark Office  
Serial No.  
09/068,278

Filing Date  
05/05/98

Examiner  
Adrian Wilson

Group Art Unit  
3722

Title: Continuous Cable Processing Device

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

no it's not after final

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☒ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

needs this certification which he doesn't have

RECEIVED  
MAY 14 2001  
TECHNOLOGY CENTER 3700